# A Review of Facial Expression System Using Machine Learning Techniques

### Sankalp Kumar

Dept. of Computer ScienceEngg, NIRT, Bhopal (M.P), India

**Abstract:** Face expression Recognition (FER) is one of the most powerful and challenging tasks in social communication and the best way for non verbal communication. Normally, face expressions are direct means for human beings to communicate their emotions and intentions. In this paper different FER techniques and models are reviewed in brief. Many researchers used machine learning algorithms like decision tree, neural network for the FER system. For the Performance improvement of FER system Convolution Neural network (CNN) and recurrent neural network (RNN) is also used. The performance of various FER techniques is compared based on the number of expressions recognized and complexity of algorithms. Databases likeJAFFE, CK+, and some other variety of facial expression dataset are also discussed in this paper.

**Keywords:** Facial Expression Recognition (FER), Machine learning Techniques, Convolution Neural network (CNN), Recurrent neural network (RNN), Pre-processing, Feature Selection

#### 1. **INTRODUCTION:**

Human-Computer Interaction (HCI) has become increasingly important in our daily lives as we live in a

digital world. Recently with the development of Artificial Intelligence (AI) and its subfields like Machine Learning and Deep Learning, uses computer vision systems to detect human emotions from facial expressions increasingly. The process of emotion detection from face is known as Facial Expression Recognition (FER). Facial expression recognition is based on advanced computer vision algorithms that analyze a person's face and extract key features from it. These features are then used to identify the facial expressions or emotions being expressed. The technology is used in a variety of applications, including security, entertainment, health care, marketing, video games, online meetings, E-learning, driver fatigue, robotics, and behavioural science.Facial Expression Recognition is a way for machines to understand emotions and the context of certain situations. The six universal facial expressions (Anger, Disgust, Fear, Happy, Sad and Surprise) have been identified by Ekman et al. And are often seen in facial expression datasets[10]. Recently, a seventh facial expression (Neutral) has been added to the list.



Figure 1.1: Different Facial Expression of One Japanese Female (JAPFEE) Dataset

Now days communication between machines through internet has increasingly with the help of Internet of things Computer Interaction(HCI) (IoT) individual and robotization, Emotion detection has turned intoan essential pasture of learn. Non-verbal communication is done through human facial expressions and frame gestures. More than fifty-five percent of expressions are mainly made from human body moves[1].Facial expressions are important because they express information that can be widely used in various applications in different ways. Furthermore, facial expressions can get across the same information to differentcultures and countries.It remains challenging for computer systems and robots to classifyFacial expressions under extraordinary light conditions, poses, and Backgrounds and across people of various a regions, genders, and ethnicities.

Researchers have studied various processes for facial detection using Decision trees, deep learning

andconvolution neural networks (CNN). CNN has been verified to be a critical method of extracting neighbourhood spatial capabilities of images. Long short-termmemory (LSTM) and recurrent neural network (RNN) is also used to establish the relationship between the transformationof facial expressions in image sequences and the six basic emotions. FACS (Facial Action Coding System) with the combination of CNN & LSTM is applied for quantifying human facial movement.An action unit (AU) is comprised of segments of themuscles involved in facial expression. An AU is one of46 minor elements of visible facial motion or its relatedformchanges.

The conventional methods of facial emotion recognitionusually collaborate with feature extraction, feature classification, and data dimension reduction algorithms and putforward stringent requirements for the



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running state of algorithms at each stage [7]. Hybrid CNN-RNN model and Deep Neural Networks (DNNs) model show the most promise for face-processing applications.Facial expressions needed a spatial relationship between different facial regions. However, CNN Models are not fully successes to capture such a relationship because they are performed on image regions only. Bidirectional LSTM-CNN [6] is also useful for network model to learn from complete time series at each time step. Therefore, it's needed to improve ourface expression recognition system performance by exploring long-term dependencies, especially for the spatial dependencies within facial expression images.Neural network models areconstantly improving and developing with the improvementin computers. Improvement of Performance in facial recognition system is the current need of modern artificial intelligence era. Many model gives better accuracy in detection of emotions from images by uses Facial dataset.

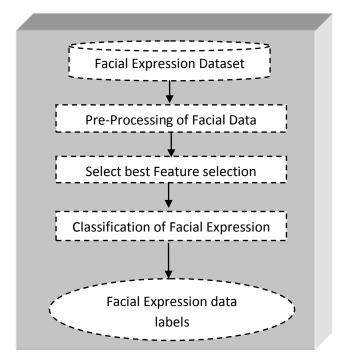


Figure 1.2: Basic Facial Expression Recognition System

#### 2. FACIAL EXPRESSION DATASET:

**CK+ Database:** The extended Cohn-Kanade, or the CK+ database, is a public facial expression dataset for action units and emotionrecognition [13]. This dataset includes both posed and spontaneous expressions. We use The Extended Kohn-Canade(CK+) database, which consists of 981 images obtained from video sequences from a total of 123 different subjects, ranging from 18 to 50 years of age, with various genders and heritage posing seven classes of emotion. The datasetcan be accessed at the following link https://www.kaggle.com/datasets/shawon10/ckplus.

**FER 2013 Data Set:**Fer2013 data set is mainlyfrom the well-known data science competition platform Kaggle. Data is obtained by searching for image keywordsthrough the Google search engine, including 35,887gray-scale images with a resolution of 48 \* 48 pixels. Table 1shows the details of various expressions in the Fer2013 data set [3]. Dataset is rich and diverse since the images arecrawled from the

network, but the data contains a lot ofnoise, which is a challenge for facial expression recognitionclassification algorithms.

**JAFFE(Japanese Female Facial Expression) Dataset:** JAFFE database is widely used whichcontains 10 Japanese feminine's expressions through 7different Facial Expressions in addition to contain Total 213Gray Imagery with resolution of 256\*256 for each image [1]. Itcontains 7 basic facial expressions which include Angry,Disgust, Fear, Happy, Neutral, Sad and Surprise.

#### **3.LITERATURE SURVEY:**

There are several works related to emotion recognition, facialexpression recognition, deep neural network and convolution neural network, recurrent neural network. Detailed review of the work is discussed in this chapter.

Authors [1] propose aFramework that combines discriminative features discoveredusing Convolution Neural Networks (CNN) to enhance theperformance and accuracy of Facial Expression Recognition. Authors proposed feature concatenationarchitecture with Deep Learning approach. In this experiment and results our proposed architecture shows improved performance compare to pre-trained CNN architecture which does not do any feature concatenation.

Authors [2] propose a Hybrid Convolution-Recurrent Neural Network method for FER in Images. Theproposed network architecture consists of Convolution layers followed by Recurrent Neural Network (RNN) which the combined model extracts the relations within facial images and by using the recurrent network the temporal dependencies which exist in the images can be considered during the classification. The proposed hybrid model is evaluated based on two public datasets and Promising experimental results have been obtained as compared to the state-of-theart methods.

Authors [3] propose the BiLSTM-CNNmodel, which combines our proposed CNN and BiLSTM model. Besides that, we also compare the model to our CNN and LSTMCNNmodels. We conduct the experiments on the CK+ dataset and evaluate the accuracy rate of the built models. Dataaugmentation is used in the dataset to improve the model's performance and prevent overfitting. The results demonstrate that theBiLSTM-CNN method achieves a state-of-the-art accuracy rate compared to other methods from previous work. The highestaccuracy of 99.43% is reached by the BiLSTM-CNN model with data augmentation.

Authors [5] proposed a model that combines CNN andLSTM and exploits the advantages of both CNN and RNN.Leave-one-out cross-validation indicates that the model performance is improved significantly. In this workproposes an emotion recognition system for a humanoid robot.

#### 4. FINDING OF THE REVIEW

It is find that many researchers uses neural network system for recognize the facial expression. Combination of Convolution neural network (CNN) and Recurrent neural network (RNN) based model are gives better performance for classifying emotions from facial dataset. For improving the performance long short-termmemory (LSTM) and

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recurrent neural network (RNN) is also used to establish the relationship between the transformation facial expressions in image sequences and the six basic emotions. It is also find that Facial expression recognition (FER) system process are the used basic three steps that is pre-processing of image, selected best features and classy the images expression. Hybrid model is also used by some authors for improving the FER model Performance.

## 5. CONCLUSION

FER is used in real-timeapplications such as driver sate surveillance, medical, roboticsinteraction, forensic section, detecting deceptions. This review is useful for developing a high performance FER model. This survey compares algorithms based on pre-processing,feature extraction, classification and major contributions. It is found that convolution and recurrent neural network based FER model mainly used for improving the FER system.

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